

2008 JAN 23 PM 4:27

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S) : Cochran et al.  
TITLE : SYSTEM AND METHOD FOR  
ASSOCIATING CONTAINER  
DEFECT INFORMATION TO A  
SPECIFIC PATH OF  
MANUFACTURING  
APPLICATION NO. : 10/533,992  
FILED : September 29, 2005  
CONFIRMATION NO. : 2586  
EXAMINER : Lee, John R.  
ART UNIT : 2878  
LAST OFFICE ACTION : June 14, 2007  
ATTORNEY DOCKET NO. : PSSZ 2 00075

Mail Stop 16  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR A REFUND**  
**(37 C.F.R. 1.28(a))**

**I. SUBMISSION OF SMALL ENTITY STATEMENT**

Attached is a copy of the Transmittal Letter at time of filing of this application claiming small entity status.

**II. REFUND REQUEST**

This is a request for a refund within three months of the date a fee was paid on this application on December 14, 2007, in the amount of \$1,050.00 (should have been \$525.00).

**III. FEES PAID FOR WHICH REFUND REQUESTED:**

☒ **Extension of Time (paid large entity fee)**

TOTAL REFUND REQUESTED

\$525.00


**IV. MANNER OF REFUND**

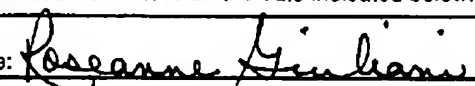
Please make refund by crediting Deposit Account No. 06-0308.

Respectfully submitted,

Fay Sharpe LLP

January 16, 2008  
Date

  
Joseph D. Dreher, Reg. No. 37,123  
1100 Superior Avenue  
Seventh Floor  
Cleveland, OH 44114-2579  
216-861-5582

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence (and any item referred to herein as being attached or enclosed) is (are) being	
<input checked="" type="checkbox"/> deposited with the United States Postal Service as First Class Mail, addressed to: Mail Stop 16, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.	
<input type="checkbox"/> transmitted to the USPTO by facsimile in accordance with 37 CFR 1.18 on the date indicated below.	
Express Mail Label No.:	Signature: 
Date: January 16, 2008	Name: Roseanne Giuliani

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		<b>ATTORNEY DOCKET NO. PSSZ 200075US</b> U.S. APPLICATION NO. (if known, see 37 CFR 1.5)
<b>INTERNATIONAL APPLICATION NO. PCT/US2003/035559</b>	<b>INTERNATIONAL FILING DATE 7 November 2003 (07.11.2003)</b>	<b>PRIORITY DATE CLAIMED 8 November 2002 (08.11.2002)</b>
<b>TITLE OF INVENTION SYSTEM AND METHOD FOR ASSOCIATING CONTAINER DEFECT INFORMATION TO A SPECIFIC PATH OF MANUFACTURING</b>		
<b>APPLICANT(S) FOR DO/EO/US COCHRAN, DON W. et al.</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li><li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li><li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li><li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li><li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li><li>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li></ol></li><li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<ol style="list-style-type: none"><li>a. <input type="checkbox"/> is attached hereto.</li><li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li></ol></li><li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<ol style="list-style-type: none"><li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li><li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li></ol></li><li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li><li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li><li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li></ol>		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"><li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, PTO Form 1449 and References (if required).</li><li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li><li>13. <input type="checkbox"/> A preliminary amendment.</li><li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li><li>15. <input checked="" type="checkbox"/> A substitute specification.</li><li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li><li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</li><li>18. <input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li><li>19. <input type="checkbox"/> A second copy of the English language translation of the International application under 35 U.S.C. 154(d)(4).</li><li>20. <input checked="" type="checkbox"/> Other items or information: <b>International Search Report.</b></li></ol>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## Electronic Patent Application Fee Transmittal

<b>Application Number:</b>	10533992			
<b>Filing Date:</b>	29-Sep-2005			
<b>Title of Invention:</b>	System and method for associating container defect information to a specific path of manufacturing			
<b>First Named Inventor/Applicant Name:</b>	Don W Cochran			
<b>Filer:</b>	Joseph D. Dreher/Roseanne Giuliani			
<b>Attorney Docket Number:</b>	PSSZ 200075US			
Filed as Large Entity				
<b>U.S. National Stage under 35 USC 371 Filing Fees</b>				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Basic Filing:</b>				
<b>Pages:</b>				
<b>Claims:</b>				
<b>Miscellaneous-Filing:</b>				
<b>Petition:</b>		Adjustment date: 02/14/2008 KGBREMI 12/14/2007 INTEFSW 03007003 10533992 02 FC:1253 -1050.00 CP		
<b>Patent-Appeals-and-Interference:</b>		02/14/2008 KGBREMI 03000016 10533992		
<b>Post-Allowance-and-Post-Issuance:</b>		01 FC:2253 525.00 CP		
Statutory disclaimer	1814	1	130	130
<b>Extension-of-Time:</b>				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 3 months with \$0 paid	1253	1	1050	1050
Miscellaneous:				
Total in USD (\$)				1180